

# Robust Multivariate Process Control in Semiconductor Fabrication

**Peter Scheibelhofer**

Günter Hayderer (ams AG, Austria)

Ernst Stadlober (Graz University of Technology)

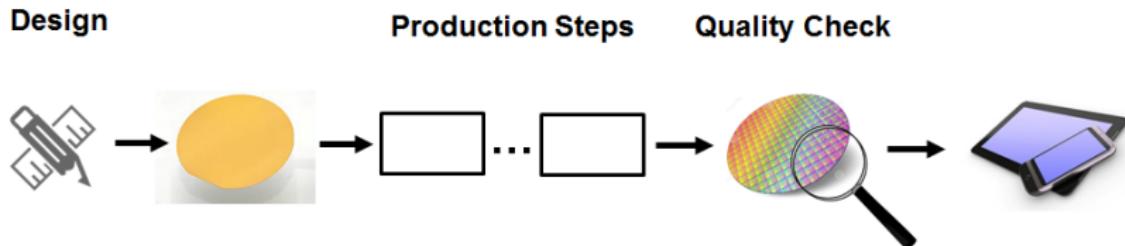
22-09-2014

# Outline

- ▶ Motivation
- ▶ Process control during production
- ▶ Process control after production
- ▶ Conclusion

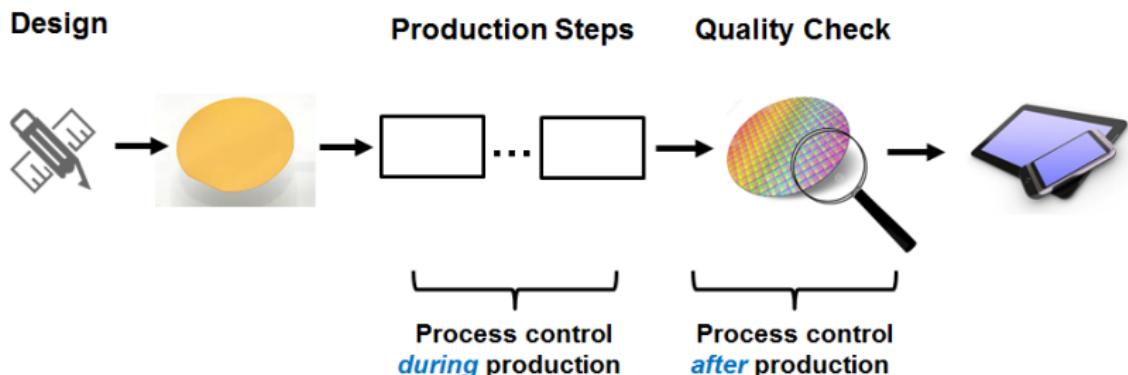
# Motivation

Semiconductor manufacturing:



## Motivation

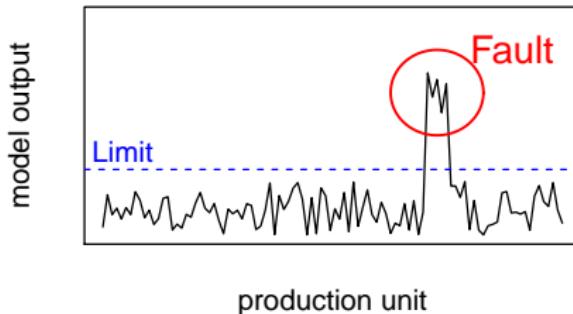
Semiconductor manufacturing:



## Motivation

Construct multivariate process control models to

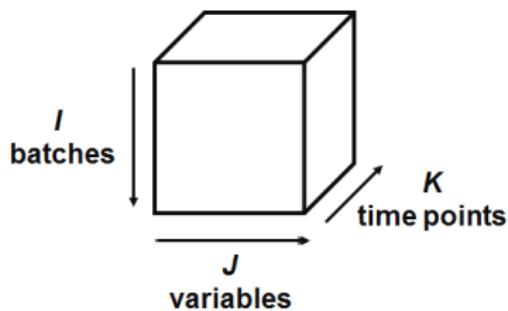
- ▶ identify process faults (**fault detection**)
- ▶ find the root cause of a fault (**fault diagnosis**)



# 1. Monitoring *during* production

# 1. Monitoring *during* production

Semiconductor production is **batch processing**



data is organised in 3 dimensions

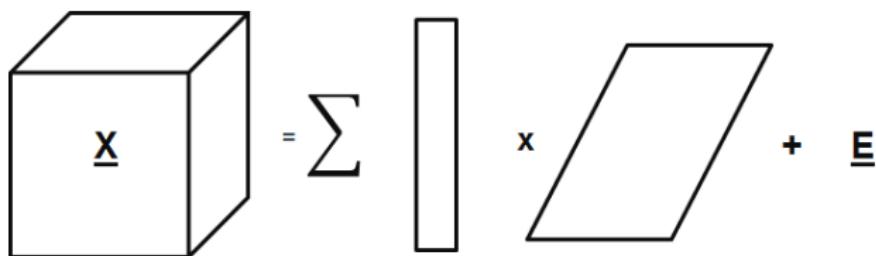
- ▶ observed batches
  - ▶ measured variables
  - ▶ time points of measurements
- **Multi-way data**

# 1. Monitoring *during* production

Suitable method:

## Multi-way Principal Component Analysis

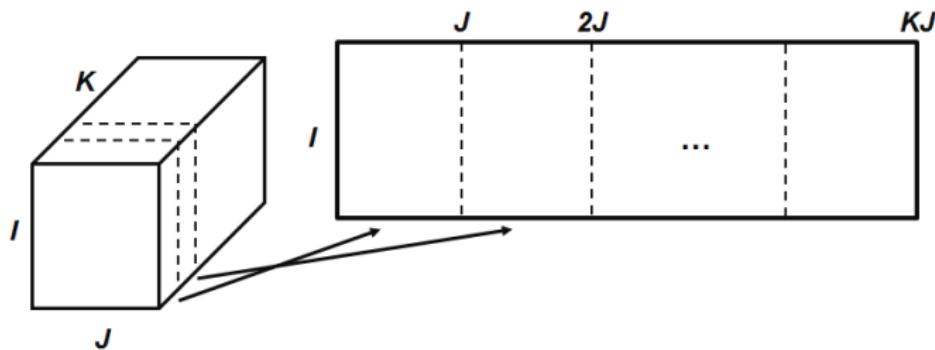
data array  $\underline{X}$  = score vector  $\times$  loading matrix + error  $\underline{E}$



→ score values hold information of time variation for each batch

# 1. Monitoring *during* production

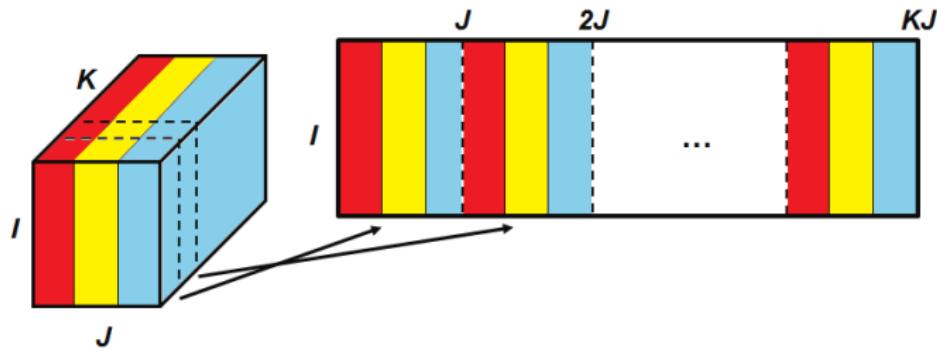
*Multi-way PCA*: unfold multi-way array by time



→ scores & loadings via ordinary PCA of unfolded matrix

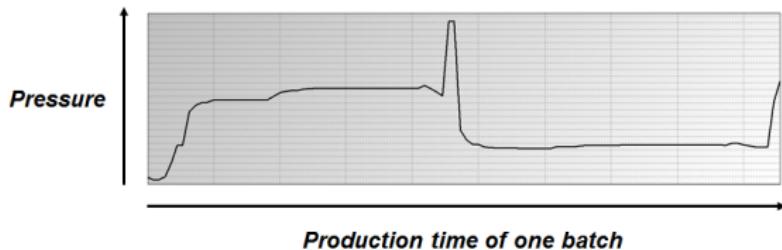
# 1. Monitoring *during* production

*Multi-block PCA* allows interpretation



- ▶ group variables into conceptually meaningful blocks
- ▶ derive block statistics (*consensus* PCA)

## 1. Monitoring *during* production - Kernel PCA



- ▶ variable relationships *not necessarily linear*
- ▶ Kernel PCA: transform input data via nonlinear function
- ▶ Robust: outlyingness measure to construct robust subset

## 1. Monitoring *during* production - Hotelling's $T^2$

Monitor process behaviour via

$$T^2 = [t_1, \dots, t_p] \Lambda^{-1} [t_1, \dots, t_p]^T$$

$t_i$  ... centered scores of PC  $i$

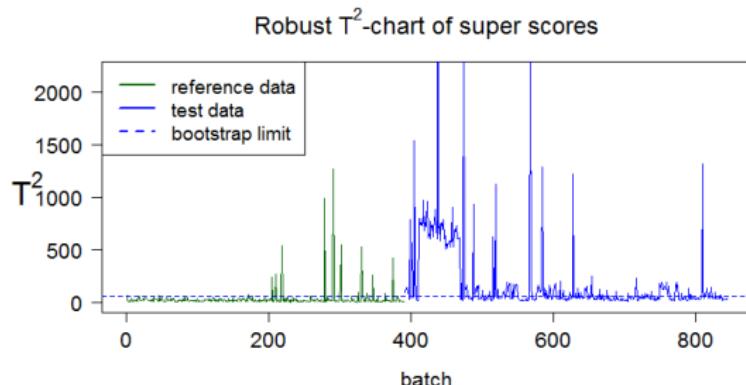
$\Lambda$  ... covariance matrix of scores

- ▶ **crucial:** robust estimation of center and covariance
- ▶ adequate mapping of normal operating condition
- ▶ effective fault detection for future observations

# 1. Monitoring *during* production - Case study

Faulty magnetic field in a plasma etch machine (June 2011)

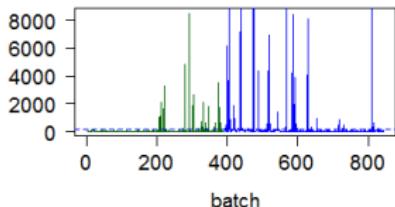
- ▶ 392 batches to build model, 424 test batches
- ▶ 10 continuous variables in 3 blocks
- ▶ 178 time points



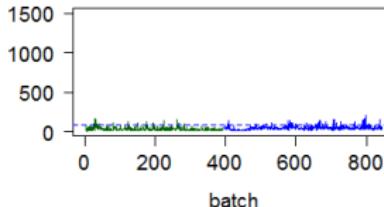
# 1. Monitoring *during* production - Case study

**Fault diagnosis:** contributions of each block via block scores

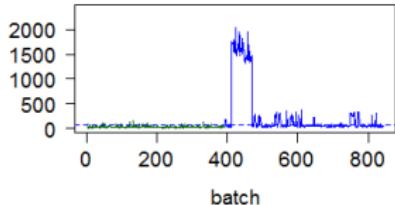
Block 1: Pressure unit



Block 2: Helium unit

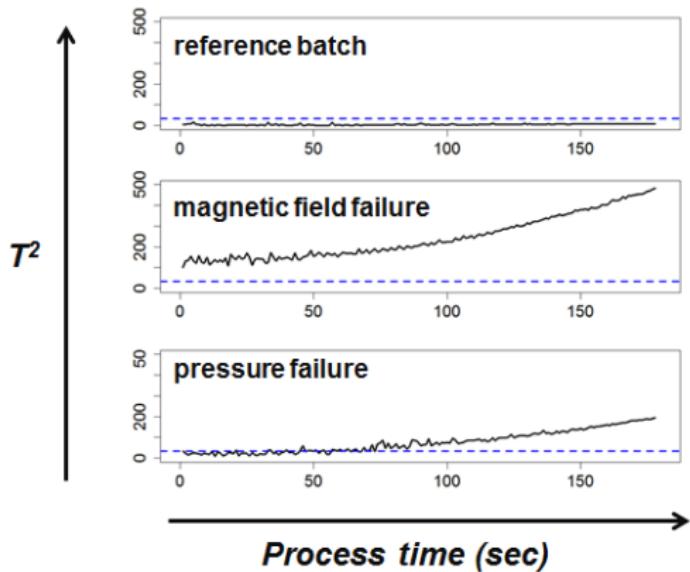


Block 3: Radio Frequency unit

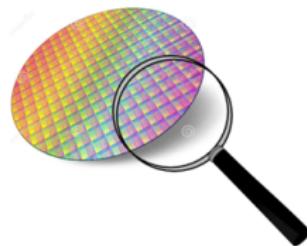


# 1. Monitoring *during* production - Case study

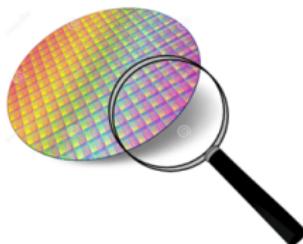
**On-line monitoring:** 3 single batches during processing



## 2. Monitoring *after* production



## 2. Monitoring *after* production

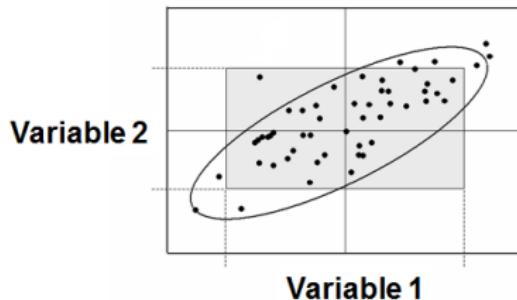


### Wafer Acceptance Test

- ▶ crucial quality check
- ▶ decision if chips fulfill design requirements
- ▶ univariate limits to monitor variables

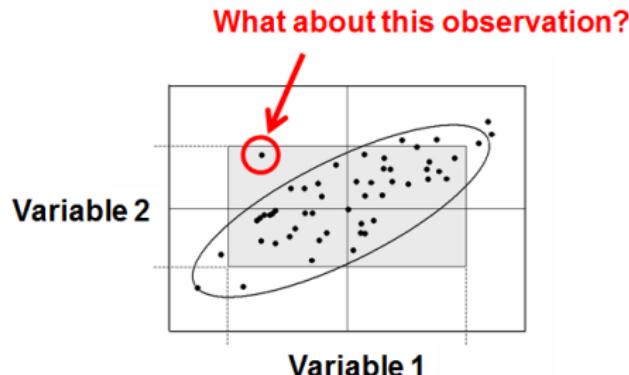
## 2. Monitoring *after* production

**But:** univariate monitoring can not identify correlation faults



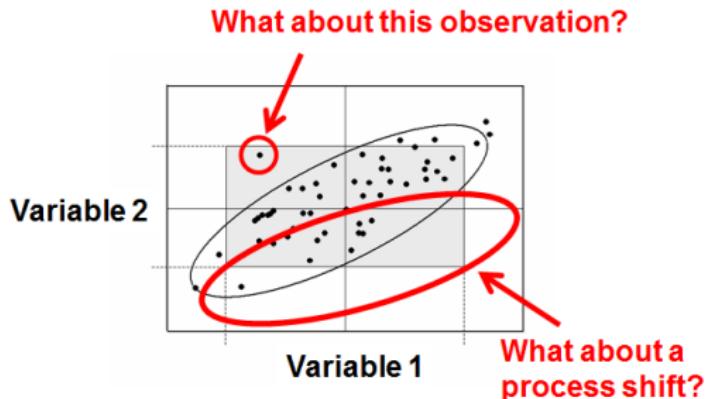
## 2. Monitoring *after* production

**But:** univariate monitoring can not identify correlation faults



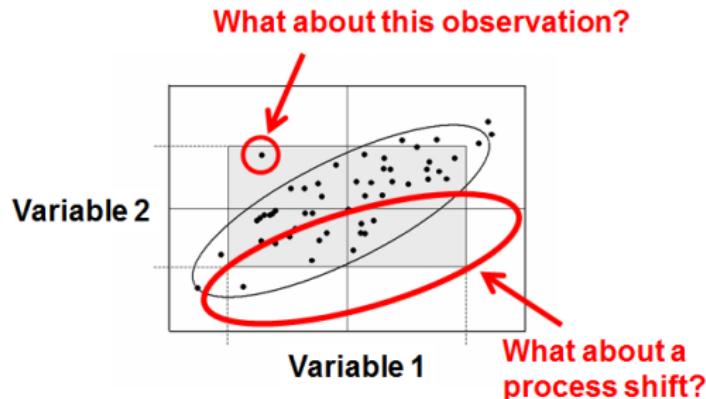
## 2. Monitoring *after* production

**But:** univariate monitoring can not identify correlation faults



## 2. Monitoring *after* production

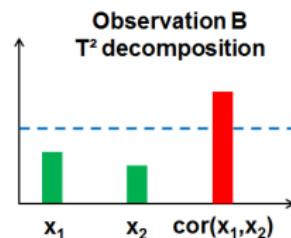
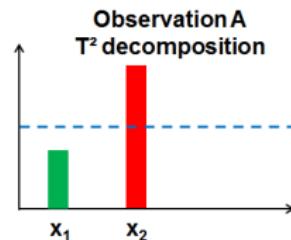
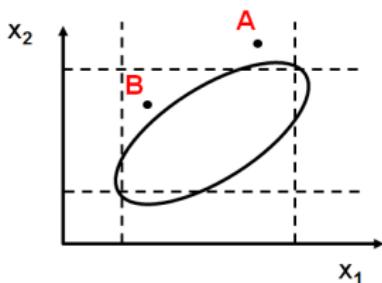
**But:** univariate monitoring can not identify correlation faults



→ use *Hotelling's  $T^2$*  for multivariate monitoring

## 2. Monitoring *after* production

Fault diagnosis via **Mason-Young-Tracy decomposition**:

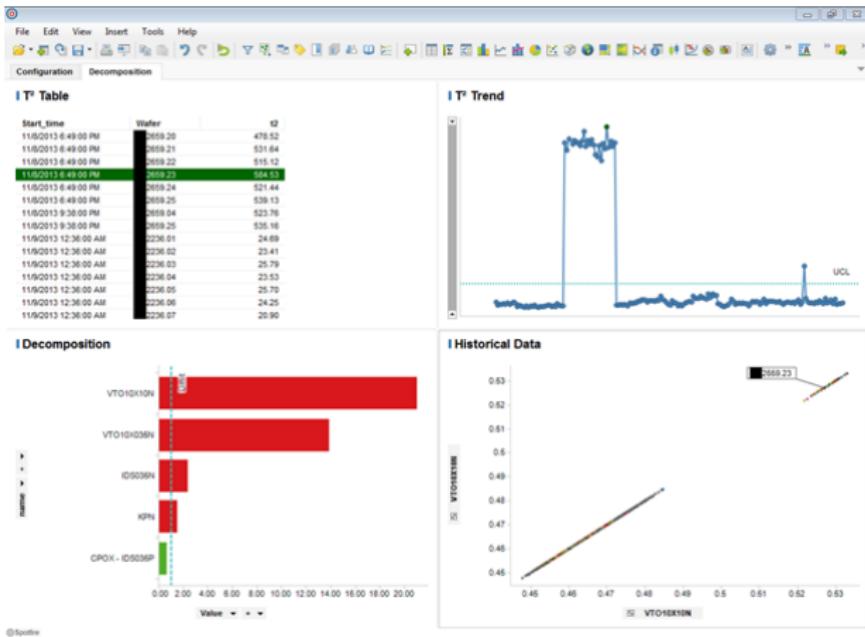


## 2. Monitoring *after* production - Implementation

- ▶ model user-interface created in *TIBCO Spotfire*
- ▶ simple interface for process engineers
- ▶  $T^2$  model construction in R  
→ use robust estimation
- ▶ Spotfire executes R code & visualizes R results



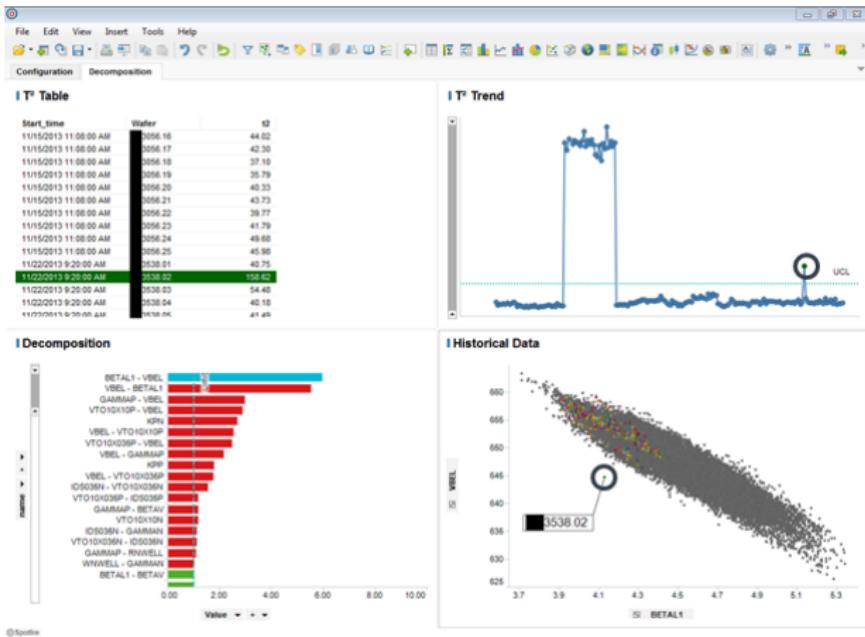
## 2. Monitoring *after* production - Implementation



## 2. Monitoring *after* production - Implementation



## 2. Monitoring *after* production - Implementation



## Conclusion

### **Kernel PCA-based monitoring model for multi-way data**

- ▶ captures nonlinearities
- ▶ robust estimation of normal operating condition
- ▶ fault diagnosis & on-line monitoring

### **$T^2$ model for quality monitoring after production**

- ▶ detection of correlation problems
- ▶ fault diagnosis via  $T^2$  decomposition
- ▶ implementation easy-to-use for process engineers

## References

- P. Nomikos, MacGregor J.F. (1994)  
[Monitoring batch processes using multiway principal component analysis, AIChE Journal, 40 \(8\), 1361-1375](#)
- Qin S.J., Valle S., Piovoso M.J. (2001)  
[On unifying multiblock analysis with application to decentralized process monitoring, Journal of Chemometrics, 15, 715-742](#)
- Debruyne M., Verdonck T. (2010)  
[Robust kernel PCA and classification, Advances in Data Analysis and Classification, 4, 151-167.](#)
- Y.W. Zhang, Zhou H., Qin S.J. (2010)  
[Decentralized fault diagnosis of large-scale processes using multiblock kernel PCA, Acta Automatica Sinica, 36 \(4\), 593-597](#)
- P. Phaladiganon, Kim S.B., Chen V., Jiang W. (2013)  
[PCA-based control charts for multivariate nonnormal distributions, Expert Systems with Applications, 40, 3044-3054](#)

# Thank you!

In cooperation with

