

Polynomial functions on a class of finite non- commutative rings

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Outline

1. Basics
2. Dual numbers
3. Polynomial functions on $R[\beta_1, \dots, \beta_k]$
4. Permutation polynomials on $R[\beta_1, \dots, \beta_k]$
 - The case R is a chain ring
5. The group of pure polynomial permutations
6. The stabilizer group of R

Definition 1

Let R be a non-commutative ring, and $g = \sum_{i=0}^n a_i x^i \in R[x]$. Then:

1. The polynomial g induces a function $F: R \rightarrow R$ by right substitution $F(a) = f(a) = \sum_{i=0}^n a_i a^i$ for the variable x . We call F a (right)polynomial function on R . If F is a bijection, we call F a polynomial permutation and f is a permutation polynomial.
2. By $[g]_R$, we denote the (right) polynomial function induced by g on R . When the ring is understood, we write $[g]$.
3. If $f \in R[x]$ such that f and g induce the same (right)function on R , i.e. $[g] = [f]$, then we abbreviate this with $g \triangleq f$ on R .
4. We define $\mathcal{F}(R) = \{[g] \mid g \in R[x]\}$, and

$$\mathcal{P}(R) = \{[f] \mid [f] \text{ is a permutation of } R \text{ and } f \in R[x]\}.$$

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Because, substitution is not a homomorphism. Indeed, we can find $f, g \in R[x]$ and $r \in R$ such that

$$h(r) \neq f(r)g(r), \text{ where } h = fg,$$

that is

$$[fg] \neq [f] * [g].$$

Definition 3

Let $f, g \in R[x]$. Let $f(x) = \sum_{j=0}^n a_j x^j$. Then

1. $(fg)(x) = \sum_{j=0}^n a_j g(x) x^j$;

2. $(fg)(r) = \sum_{j=0}^n a_j g(r) r^j$ for every $r \in R$.

3. $f \in R[x]$ is called null polynomial on R if $f(r) = 0$ for every $r \in R$. We write $f \triangleq 0$ on R .

4. We define: $N_R = \{f \in R[x] \mid f \triangleq 0 \text{ on } R\}$.

Corollary 4

Let R be a finite non-commutative ring. Then

- 1. N_R is a left ideal of $R[x]$;*
- 2. N_R is an ideal of $R[x]$ if and only if N_R is an R -right module.*

Remark 5

- If every element in R can be written as a sum of units (for example semisimple rings and local rings), then N_R is an ideal [Werner, 2014].*

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- A result of [Stewart, 1972] infers that every element of a finite ring R is a sum of units if and only if $R/J(R)$ has no factor ring isomorphic to $\mathbb{F}_2 \times \mathbb{F}_2$.*
- When R is the ring of upper triangular (lower) over commutative ring A , N_R is an ideal [Frisch, 2017].*

Proposition 6

Let R be a finite non-commutative ring. Define an operation “ \cdot ” on $\mathcal{F}(R)$ by letting $F \cdot F_1 = [fg]$, where $f, g \in R[x]$ such that $F = [f]$ and $[g] = F_1$. Then “ \cdot ” is well defined if and only if N_R is a two sided ideal; in this case $\mathcal{F}(R)$ is a ring endorsed with multiplication “ \cdot ” and pointwise addition.

Definition 7

Let R be a non-commutative ring and let T be the ideal of the polynomial ring $R[x_1, \dots, x_k]$ generated by the set $\{x_i x_j \mid i, j \in \{1, \dots, k\}\}$. We call the quotient ring $R[x_1, \dots, x_k]/T$ the ring of dual numbers of k variables over R . We write $R[\beta_1, \dots, \beta_k]$ for $R[x_1, \dots, x_k]/T$, where β_i denotes $x_i + T$.

Remark 8

- $R[\beta_1, \dots, \beta_k]$ is a free R -algebra with basis $\{1, \beta_1, \dots, \beta_k\}$. We have,
$$R[\beta_1, \dots, \beta_k] = \{r_0 + \sum_{i=1}^k r_i \beta_i \mid r_0, r_i \in R, \text{ with } \beta_i \beta_j = 0 \text{ for } 1 \leq i, j \leq k\}.$$
- We call the coefficient of 1 the “constant coefficient”.
- Every polynomial $f \in R[\beta_1, \dots, \beta_k][x]$ has a unique representation
$$f = f_0 + \sum_{i=1}^k f_i \beta_i, \text{ where } f_0, f_1, \dots, f_k \in R[x].$$
- $(a_0 + \sum_{i=1}^k a_i \beta_i)(b_0 + \sum_{i=1}^k b_i \beta_i) = a_0 b_0 + \sum_{i=1}^k (a_0 b_i + a_i b_0) \beta_i$ for every $a_i, b_i \in R$.

Proposition 9

Let R be a non-commutative ring. Then the following statements hold.

1. *For $a_0, \dots, a_k, b_0, \dots, b_k \in R$, we have:*

$a_0 + \sum_{i=1}^k a_i \beta_i$ is a unit in $R[\beta_1, \dots, \beta_k]$ if and only if a_0 is a unit in R .

2. *$R[\beta_1, \dots, \beta_k]$ is a local ring if and only if R is a local ring.*

3. *$J(R[\beta_1, \dots, \beta_k]) = J(R) + \sum_{i=1}^k \beta_i R$.*

4. *$C(R[\beta_1, \dots, \beta_k]) = C(R) + \sum_{i=1}^k C(R) \beta_i$.*

If R is commutative, then by binomial theorem, for any $f \in R[x]$ and $a, b_i \in R$,

$$f\left(a + \sum_{i=1}^k b_i \beta_i\right) = f(a) + \sum_{i=1}^k f'(a) b_i \beta_i.$$

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Definition 10

Let $f = \sum_{j=0}^n a_j x^j \in R[x]$. Then we assign to f a unique polynomial $\lambda_f(y, z)$ in the non-commutative variables y and z defined by

$$\lambda_f(y, z) = \sum_{j=1}^n \sum_{r=1}^j a_j y^{r-1} z y^{j-r}.$$

We call λ_f the assigned polynomial of (to) f .

Fact 11

Let $r, s, w \in R$. Let f and $g \in R[x]$. Then

1. $\lambda_{rf+sg} = \lambda_{rf} + \lambda_{sg}$;
2. $\lambda_{fr+gs} = \lambda_{fr} + \lambda_{gs}$;
3. $\lambda_f = 0$ if and only if f is constant;
4. $\lambda_f(0, z) = a_1 z$ and $\lambda_f(y, 1) = f'(y)$, where $f(x) = \sum_{j=0}^n a_j x^j$;
5. $\lambda_f(y, 0) = 0$;
6. $\lambda_f(r, s \pm w) = \lambda_f(r, s) \pm \lambda_f(r, w)$.

From now on let R_k denote $R[\beta_1, \dots, \beta_k]$.

Lemma 12

Let R be a ring and $a, b_1, \dots, b_k \in R$.

1. If $f \in R[x]$ and λ_f is its assigned polynomial then

$$f\left(a + \sum_{i=1}^k b_i \beta_i\right) = f(a) + \sum_{i=1}^k \lambda_f(a, b_i) \beta_i.$$

2. If $f = f_0 + \sum_{i=1}^k f_i \beta_i$, where $f_0, \dots, f_k \in R[x]$, then

$$f\left(a + \sum_{i=1}^k b_i \beta_i\right) = f_0(a) + \sum_{i=1}^k (\lambda_{f_0}(a, b_i) + f_i(a)) \beta_i.$$

Definition 13

Let $f = \sum_{j=0}^n a_j x^j \in R[x]$ and $\lambda_f(y, z)$ be its assigned polynomial. Then

1. the assigned λ_f induces (defines) a function $F: R \times R \longrightarrow R$

$$F(a, b) = \lambda_f(a, b) = \sum_{j=1}^n \sum_{r=1}^j a_j a^{r-1} b a^{j-r},$$

which we denote by $[\lambda_f(y, z)]$;

2. for every $a \in R$ the polynomial $\lambda_f(a, z) = \sum_{j=1}^n \sum_{r=1}^j a_j a^{r-1} z a^{j-r}$ defines a function $F_a: R \longrightarrow R$ by $F_a(b) = \lambda_f(a, b)$, which we denote by $[\lambda_f(a, z)]$.

Definition 14

1. Let $f \in R[x]$ and let λ_f be its assigned polynomial. We call λ_f is null if $\lambda_f(a, b) = 0$ for every $a, b \in R$. We write $[\lambda_f(y, z)] = 0$.
2. We define AN_R as: $AN_R = \{f \in N_R \mid [\lambda_f(y, z)] = 0\}$.
3. We define N'_R as: $N'_R = \{f \in N_R \mid f' \in N_R\}$.

Remark 15

1. Obviously, AN_R and N'_R are left ideals of $R[x]$ with $AN_R, N'_R \subseteq N_R$.
2. Let $f \in AN_R$. Then $[\lambda_f(y, z)] = 0 \Rightarrow \lambda_f(a, 1) = f'(a) = 0$ for every $a \in R$. Hence $f \in N'_R$, and $AN_R \subseteq N'_R \subseteq N_R$.
3. When R is commutative: the condition on λ_f in the definition of AN_R is equivalent to $f' \in N_R$. So, $AN_R = N'_R$ over commutative rings.

Theorem 16

Let N_R and AN_R be as in Definition 14. Then

1. $N_{R_k} = AN_R + \sum_{i=1}^k N_R \beta_i$;
2. N_{R_k} is an ideal of $R_k[x]$ if and only if AN_R and N_R are ideals of $R[x]$.

Corollary 17

Let $f = f_0 + \sum_{i=1}^k f_i \beta_i$, where $f_0, \dots, f_k \in R[x]$. Then the following are equivalent

1. $f \in N_{R_k}$ (i.e. f is a null polynomial on R_k);
2. $f_0, f_i \beta_i \in N_{R_k}$ for $i = 1, \dots, k$;
3. $[\lambda_{f_0}(y, z)] = 0$ and $f_i \in N_R$ for $i = 0, \dots, k$.

Corollary 18

Let $f = f_0 + \sum_{i=1}^k f_i \beta_i$ and $g = g_0 + \sum_{i=1}^k g_i \beta_i$, where $f_0, \dots, f_k, g_0, \dots, g_k \in R[X]$.

Then $f \triangleq g$ on R_k if and only if the following conditions hold:

1. $[\lambda_{f_0}(y, z)] = [\lambda_{g_0}(y, z)]$;
2. $[f_i]_R = [g_i]_R$ for $i = 0, \dots, k$.

Corollary 18

Let $f = f_0 + \sum_{i=1}^k f_i \beta_i$ and $g = g_0 + \sum_{i=1}^k g_i \beta_i$, where $f_0, \dots, f_k, g_0, \dots, g_k \in R[X]$.

Then $f \triangleq g$ on R_k if and only if the following conditions hold:

1. $[\lambda_{f_0}(y, z)] = [\lambda_{g_0}(y, z)]$;
2. $[f_i]_R = [g_i]_R$ for $i = 0, \dots, k$.

Or equivalently:

- $f_0 \equiv g_0 \pmod{AN_R}$;
- $f_i \equiv g_i \pmod{N_R}$ for $i = 1, \dots, k$.

Proposition 19

Let R be a finite non-commutative. The following statements are equivalent

- 1. every element of R is a sum of units;*
- 2. every element of R_k is a sum of units;*
- 3. $R/J(R)$ has no factor ring isomorphic to $\mathbb{F}_2 \times \mathbb{F}_2$;*
- 4. $R_k/J(R_k)$ has no factor ring isomorphic to $\mathbb{F}_2 \times \mathbb{F}_2$.*

Proposition 19

Let R be a finite non-commutative. The following statements are equivalent

1. every element of R is a sum of units;
2. every element of R_k is a sum of units;
3. $R/J(R)$ has no factor ring isomorphic to $\mathbb{F}_2 \times \mathbb{F}_2$;
4. $R_k/J(R_k)$ has no factor ring isomorphic to $\mathbb{F}_2 \times \mathbb{F}_2$.

Proof.

By Remark 5, we need only show only (3) \Leftrightarrow (4). By Proposition 9,

$J(R_k) = J(R) + \sum_{i=1}^k \beta_i R$. Then one easily sees that

$$R_k/J(R_k) = (R + \sum_{i=1}^k \beta_i R)/(J(R) + \sum_{i=1}^k \beta_i R) \cong R/J(R).$$

Corollary 20

*Suppose that R (alternatively R_k) satisfies the condition of Proposition 19.
Then*

- 1. N_{R_k} is an ideal of $R_k[x]$;*
- 2. N_R and AN_R are ideals of $R[x]$.*

Corollary 20

*Suppose that R (alternatively R_k) satisfies the condition of Proposition 19.
Then*

1. N_{R_k} is an ideal of $R_k[x]$;
2. N_R and AN_R are ideals of $R[x]$.

From now on we consider a non-commutative ring R in which N_R and AN_R are ideals of $R[x]$ (equivalently N_{R_k} is an ideal of $R_k[x]$).

Proposition 21

The number of polynomial functions on R_k is given by

$$|\mathcal{F}(R_k)| = [R[x]: AN_R] [R[x]: N_R]^k = [N_R: AN_R] |\mathcal{F}(R)|^{k+1}.$$

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Corollary 22

Let $F \in \mathcal{F}(R)$ be fixed.

$$[N_R: AN_R] = |\{[\lambda_f(y, z)] \mid f \in R[x] \text{ such that } [f]_R = F\}|.$$

Theorem 23

Let R be a finite non-commutative ring. Let $f = f_0 + \sum_{i=1}^k f_i \beta_i$, where $f_0, \dots, f_k \in R[x]$. Then the following statements are equivalent:

1. f is a permutation polynomial on R_k ;
2. f_0 is a permutation polynomial on R_k ;
3. f_0 is a permutation polynomial on R and $[\lambda_{f_0}(y, z)]$ is a local permutation on R in z .

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Definition 24

A function $G: R \times R \rightarrow R$ a local permutation in the second coordinate, if for every $a \in R$ the function $G_a: R \rightarrow R, r \rightarrow G(a, r)$, is bijective.

Remark and Question 25

1. *If R is a commutative ring, then the condition on $\lambda_{f_0}(y, z)$ ($f_0 \in R[x]$) in Theorem 23 is equivalent to f'_0 maps R to its group of units.*
2. *In the special case R is a local commutative that is not a field, the condition on f'_0 is redundant, that is f_0 is a permutation polynomial on R_k if and only if f_0 is a permutation polynomial on R ([Al-Maktry, 2023, Proposition 4.7]).*
3. *The previous point motivates us to ask the following question in the non-commutative case:*

Let R be a finite non-commutative local rings does the condition on $\lambda_{f_0}(y, z)$ ($f_0 \in R[x]$) in Theorem 23 is redundant?

Corollary 26

Let $f_0, \dots, f_k \in R[x]$. The following statements are equivalent:

1. $f = f_0 + \sum_{i=1}^k f_i \beta_i$ is a permutation polynomial on R_k ;
2. $f_0 + f_i \beta_i$ is a permutation polynomial on $R[\beta_i]$ for every $i \in \{1, \dots, k\}$;
3. f_0 is a permutation polynomial on $R[\beta_i]$ for every $i \in \{1, \dots, k\}$.
4. $f_0 + \sum_{i=1}^j f_i \beta_i$ is a permutation polynomial on R_l for every $1 \leq j \leq k$ and $l \geq j$;
5. f_0 is a permutation polynomial on R_j for every $j \geq 1$.

Remark 27

For the ring of Matrices of dimension n over a finite local ring R , $M_n(R)$, Brawley proved the following criteria [Brawley, 1976, Theorem 2]:

Let $f \in R[x]$ and let $\bar{f} \in \mathbb{F}_q[x]$ be the image of f in $\mathbb{F}_q[x]$, where $\mathbb{F}_q = R/M$. Then f is a permutation polynomial on $M_n(R)$ if and only if

- 1. \bar{f} is a permutation polynomial on $M_n(\mathbb{F}_q)$, and*
- 2. the function $[\lambda_{\bar{f}}(y, z)]$ is a local permutation of $M_n(\mathbb{F}_q)$ in z .*

Proposition 28

Let R be a finite non-commutative ring. Let L be the number of pairs of functions (F, H) such that

1. $F: R \rightarrow R$ is bijective;
2. $H: R \times R \rightarrow R$ is a local permutation in the second coordinate;

occurring as $([f]_R, [\lambda_f(y, z)])$ for some $f \in R[x]$.

Then the number of polynomial permutations on R_k is given by

$$|\mathcal{P}(R_k)| = L \cdot |\mathcal{F}(R)|^k.$$

Finite local rings

- A finite ring R is called a local ring if the set M of all zero-divisors of R is an ideal (two-sided ideal) of R .
- M is the unique maximal ideal of R , and there exists a minimal positive integer N such that $M^N = \{0\}$.
- The characteristic of the ring $\text{Char}(R) = p^c$ ($1 \leq c \leq N$) (p prime).
- $R/M = \mathbb{F}_q$ where $q = p^w$ ($w \geq 1$).
- If $c = N$, R is commutative (not vice versa).
- If the lattice of left ideals (right ideals) is a chain, R is called a chain.
- In a chain ring: $M^i = t^i R = R t^i$ for some element $t \in M \setminus M^2$ ($i = 0, 1, \dots, N$).

See [Nechaev, 2008]

The case R is a chain ring

Lemma 29

Let R be a finite chain ring and let $f \in R[x]$. The following statements hold

- 1. R is semi-commutative;*
- 2. $f(a + m) = f(a) + \lambda_f(a, m)$ for every $a, m \in R$ with $m^2 = 0$.*

The case R is a chain ring

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- 1. R is semi-commutative;*
- 2. $f(a + m) = f(a) + \lambda_f(a, m)$ for every $a, m \in R$ with $m^2 = 0$.*

From now on, whenever R is a chain ring, we assume $\text{Char}(R) = p^c$ with $c > 1$.

The case R is a chain ring

Proposition 30

Let R be a finite-non commutative chain ring, and let $f \in R[x]$ be a permutation polynomial on R . Then the following statements hold

1. $f'(a) \neq 0 \pmod{M}$ for every $a \in R$;
2. $[\lambda_f(y, z)]$ is a local permutation in z .

The case R is a chain ring

Theorem 31

Let R be a finite chain. Let $f = f_0 + \sum_{i=1}^k f_i \beta_i$, where $f_0, \dots, f_k \in R[x]$. Then the following statements are equivalent:

1. f is a permutation polynomial on R_k ;
2. f_0 is a permutation polynomial on R_k ;
3. f_0 is a permutation polynomial on R .

Proof.

(1) \equiv (2) \Rightarrow (3) by Theorem 23. (3) \Rightarrow (2) by Proposition 30. □

Definition 32

Let $k \geq 1$. The set $\mathcal{P}_R(R_k) = \{F \in \mathcal{P}(R_k) \mid F = [f]_{R_k} \text{ for some } f \in R[x]\}$ is a subgroup of the group $\mathcal{P}(R_k)$. We call $\mathcal{P}_R(R_k)$ the group of pure polynomial permutations.

Fact 33

Let $k, i \geq 1$. Then $\mathcal{P}_R(R_i) \cong \mathcal{P}_R(R_k)$.

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Fact 33

Let $k, i \geq 1$. Then $\mathcal{P}_R(R_i) \cong \mathcal{P}_R(R_k)$.

Definition 34

The set $\mathcal{P}_x = \{F \in \mathcal{P}(R_k) \mid F = [x + \sum_{i=1}^k f_i \beta_i]_{R_k}, \text{ where } f_1, \dots, f_k \in R[x]\}$ is a subgroup of the group $\mathcal{P}(R_k)$.

Theorem 35

Let $\mathcal{P}(R_k)$ be the group of polynomial permutations on R_k . Then

1. $\mathcal{P}(R_k) = \mathcal{P}_x \rtimes \mathcal{P}_R(R_k)$;
2. $|\mathcal{P}(R_k)| = |\mathcal{P}_R(R_k)| |\mathcal{F}(R)|^k$.

Theorem 35

Let $\mathcal{P}(R_k)$ be the group of polynomial permutations on R_k . Then

1. $\mathcal{P}(R_k) = \mathcal{P}_x \rtimes \mathcal{P}_R(R_k)$;
2. $|\mathcal{P}(R_k)| = |\mathcal{P}_R(R_k)| |\mathcal{F}(R)|^k$.

Corollary 36

$$|\mathcal{P}_R(R_k)| = |\{([f]_R, [\lambda(y, z)]) \mid f \in R[x], [f]_R \in \mathcal{P}(R) \text{ and } [\lambda(y, z)] \text{ L. P. in } z\}|.$$

In particular, when R is a chain ring,

$$|\mathcal{P}_R(R_k)| = |\{([f]_R, [\lambda(y, z)]) \mid f \in R[x], [f]_R \in \mathcal{P}(R)\}|.$$

Definition 37

Let $St_k(R) = \{F \in \mathcal{P}(R_k) \mid F(a) = a \text{ for every } a \in R\}$.

Proposition 38

Let R be a finite ring. Then

$$St_k(R) = \{F \in \mathcal{P}(R_k) \mid F \text{ is induced by } x + h(x), h \in N_R\}.$$

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Theorem 39

Let $k, i \geq 1$. Then $St_k(R) \cong St_i(R)$.

Proposition 40

The stabilizer group $St_k(R)$ is a normal subgroup of the group $\mathcal{P}_R(R_k)$. Furthermore, if every element of $\mathcal{P}(R)$ is the restriction to R of an element of $\mathcal{P}_R(R_k)$, then

$$\mathcal{P}_R(R_k) / St_k(R) \cong \mathcal{P}(R).$$

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Theorem 41

Let R be a chain ring. Then:

- 1. each element of $\mathcal{P}(R)$ appears as a restriction on R of some $G \in \mathcal{P}_R(R_k)$;*
- 2. $St_k(R)$ is a normal subgroup of $\mathcal{P}_R(R_k)$ and*

$$\mathcal{P}_R(R_k) / St_k(R) \cong \mathcal{P}(R).$$

Let $\Psi: \mathcal{P}_R(R_k) \longrightarrow \mathcal{P}(R)$ be the map defined by $\Psi(F) = [f]_R$, where $F = [f]_{R_k}$.

Corollary 42

The number of polynomial permutations on R_k is given by

$$|\mathcal{P}(R_k)| = |\mathcal{F}(R)|^k \cdot |\Psi(\mathcal{P}_R(R_k))| \cdot |\text{St}_k(R)|.$$

In particular, when R is a finite chain ring,

$$|\mathcal{P}(R_k)| = |\mathcal{F}(R)|^k \cdot |\mathcal{P}(R)| \cdot |\text{St}_k(R)|.$$

Corollary 43

Let $F \in \Psi(\mathcal{P}_R(R_k)) \subseteq \mathcal{P}(R)$ be fixed. Then

$$|St_k(R)| = |\{[\lambda_g(y, z)] \mid g \in R[x], [g]_{R_k} \in \mathcal{P}_R(R_k) \text{ and } [g]_R = F\}|.$$

When R is chain ring, we fixed $F \in \mathcal{P}(R)$. Then

$$|St_k(R)| = |\{[\lambda_g(y, z)] \mid g \in R[x], \text{ and } [g]_R = F\}|.$$

Definition 44

For $n \geq 1$, we define

$$N_R(< n) = \{g \in R[x] \mid g \in N_R \text{ with } \deg g < n\}, \text{ and}$$

$$AN_R(< n) = \{g \in R[x] \mid g \in AN_R \text{ with } \deg g < n\}.$$

Proposition 45

1. $|St_k(R)| = |\{[\lambda_g(y, z)] \mid g \in N_R \text{ and } [g + x]_{R_k} \in \mathcal{P}_R(R_k)\}|.$
2. *If there exists a monic null polynomial on R_k in $R[x]$ of degree n , then:*
 - $|St_k(R)| = |\{[\lambda_g(y, z)] \mid g \in N_R \text{ and } [g + x]_{R_k} \in \mathcal{P}_R(R_k) \text{ with } \deg g < n\}|;$
 - $|St_k(R)| \leq [N_R : AN_R] = \frac{|N_R(< n)|}{|AN_R(< n)|}.$

Theorem 46

Let R be a finite chain.

1. $|St_k(R)| = |\{[\lambda_g(y, z)] \mid g \in N_R\}|$.
2. If there exists a monic null polynomial on R_k in $R[x]$ of degree n , then:
 - $|St_k(R)| = |\{[\lambda_g(y, z)] \mid g \in N_R \text{ and } \deg g < n\}|$;
 - $|St_k(R)| = [N_R : AN_R] = \frac{|N_R(<n)|}{|AN_R(<n)|}$.

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